ISO 37301:2021 is an international standard designed and formulated to help organizations implement a strong Compliance Management System (CMS). ISO 37301 is a Type A management system standard that sets out the requirements and provides guidelines for establishing, developing, implementing, evaluating, maintaining, and continually improving a compliance management system (CMS).
This training introduces the basic concepts of compliance and requirements of ISO 37301 for a compliance management system (CMS). This course also discusses various aspects of a CMS, including policy, compliance culture, compliance risk assessment, operational controls, performance measurements, leadership and commitment, internal audit, management review and continual improvement will be discussed.

Course Objectives

- Understanding the basic concepts, approaches, methods, and techniques used for the implementation and efficient management of CMS
- Describe the relationship between ISO 37301 and other standards and regulatory frameworks
- Interpret the requirements of ISO 37301
- General understanding of how an organization can meet the requirements of ISO 37301

Course Outline

- Introduction to ISO 37301 and the initiation of a CMS Implementation
    - Standards and regulatory frameworks
    - Fundamental concepts and principles of compliance management
    - Initiation of the CMS implementation
    - Leadership and commitment
    - Compliance policy
    - Roles, responsibilities, and authorities
- Implementation plan of a CMS
    - Context of the organization
    - CMS Scope

    - Compliance obligations
    - Risks, opportunities and compliance objectives
- Implementation of a CMS
    - Resource and competence
    - Awareness and communication
    - Management of documented information
    - Controls and procedures
    - Monitoring, measurements, analysis, and evaluation
- CMS monitoring, continual improvement, and preparation for the certification audit
    - Internal Audit
    - Management review
    - Non-conformity and corrective actions
    - Continual improvement
    - Preparation for the certification audit

Course Duration

- 2 half days – online

Delivery Methodology

- Lecture, case studies and Discussion
- Activity / Quizzes
- Coaching and Feedback
- Q&A Sessions

This course is recommended for:

- People who want to implement ISO 37301 Compliance Management Systems in their organization

Upcoming Events

Notice

There are no upcoming events.